

## Generic assessment criteria for human health: residential scenario with home-grown produce

### Background

RSK's generic assessment criteria (GAC) were initially prepared following the publication by the Environment Agency (EA) of soil guideline value (SGV) and toxicological (TOX) reports, and associated publications in 2009<sup>(1)</sup>. RSK GAC were updated following the publication of GAC by LQM/CIEH in 2009<sup>(2)</sup>. RSK GAC are periodically revised when updated information on toxicological, land use or receptor parameters is published.

### Updates to the RSK GAC

In 2014, the publication of Category 4 Screening Levels (C4SL)<sup>(3,4)</sup>, as part of the Defra-funded research project SP1010, included modifications to certain exposure assumptions documented within EA Science Report SC050221/SR3 (herein after referred to as SR3)<sup>(5)</sup> used in the generation of SGVs.

C4SL were initially published for six substances (cadmium, arsenic, benzene, benzo(a)pyrene, chromium VI and lead) for a sandy loam soil type with 6% soil organic matter, based on a low level of toxicological concern (LLTC; see Section 2.3 of research project report SP1010<sup>(3)</sup>). Further C4SL were published in 2021 for vinyl chloride, tetrachloroethene (PCE) and trichloroethene (TCE). Where a C4SL has been published, the RSK GAC duplicates the C4SL using all input parameters within the SP1010 final project report<sup>(3)</sup> and associated chemical specific reports<sup>(6)</sup>, and adopts them as GAC for these substances. Due to the use of decimal places rather than significant figures applied to the Contaminated Land Exposure Assessment (CLEA) tool outputs, the GAC presented may be marginally differently to the C4SL values, however any differences between the values are minimal and would not equate to an unacceptable risk.

For all other substances the C4SL exposure modifications, with the exception of the “top two” produce type approach taken in the C4SL, have been applied to the current RSK GAC. These include alterations to daily inhalation rates for residential and commercial scenarios, reducing soil adherence factors in children (age classes 1 to 12 only) for residential land use, reducing exposure frequency for dermal contact outdoors for residential land use, and updated produce type consumption rates (90<sup>th</sup> percentile) based on recent data from the National Diet and Nutrition Survey.

The RSK GAC have also been revised with updated toxicology published by LQM/CIEH in 2015<sup>(7)</sup> or by the USEPA<sup>(14)</sup>, where a C4SL has not been published.

### RSK GAC derivation for metals and organic compounds

#### *Model selection*

Soil assessment criteria (SAC) were calculated using the CLEA tool v1.071, supporting EA guidance<sup>(5,8,9)</sup> and revised exposure scenarios published for the C4SL<sup>(3)</sup>. The SAC are also termed GAC.

### Conceptual model

In accordance with SR3<sup>(5)</sup>, the residential with home-grown produce scenario considers risks to a female child between the ages of 0 and 6 years old as the highest risk scenario. In accordance with Box 3.1 of SR3<sup>(5)</sup>, the pathways considered for production of the SAC in the residential with home-grown produce scenario are

- direct soil and dust ingestion
- consumption of home-grown produce
- consumption of soil attached to home-grown produce
- dermal contact with soil and indoor dust
- inhalation of indoor and outdoor dust and vapours.

Figure 1 is a conceptual model illustrating these linkages.

In line with guidance in the EA SGV report for cadmium<sup>(1)</sup>, the RSK GAC for cadmium has been derived based on estimates representative of lifetime exposure. Although young children are generally more likely to have higher exposures to soil contaminants, the renal toxicity of cadmium, and the derivation of the TDI<sub>oral</sub> and TDI<sub>inh</sub>, are based on considerations of the kidney burden accumulated over 50 years or so. It is therefore reasonable to consider exposure not just in childhood but averaged over a longer period.

With respect to volatilisation, the CLEA model assumes a simple linear partitioning of a chemical in the soil between the sorbed, dissolved and vapour phase<sup>(9)</sup>. The upper boundaries of this partitioning are represented by the maximum aqueous solubility and pure saturated vapour concentration of the chemical. The CLEA model estimates saturated soil concentrations where these limits are reached<sup>(9)</sup>. The CLEA software uses a traffic light system to identify when individual and/or combined assessment criteria exceed the lower of either the aqueous- or vapour-based soil saturation limits. Model output cells are flagged red where the saturated soil concentration has been exceeded and the contribution of the indoor and outdoor vapour pathway to total exposure is greater than 10%. In this case, further consideration of the following is required<sup>(9)</sup>:

- Free phase contamination may be present.
- Exposure from the vapour pathways will be over-predicted by the model, as in reality the vapour phase concentration will not increase at concentrations above saturation limits
- Where the vapour pathway contribution is greater than 90%, it is unlikely the relevant health criteria value (HCV) will be exceeded at soil concentrations at least a factor of ten higher than the relevant HCV.

Where the vapour pathway is the predominant pathway (contributes greater than 90% of exposure) or the only exposure route considered and the cell is highlighted red (SAC exceeds saturation limit), the risk based on the assumed conceptual model is likely to be negligible as the vapour risk is assumed to be tolerable at maximum possible soil concentrations. In such circumstances, the vapour pathway exposure should be considered based on the presence of free phase or non-aqueous phase liquid sources and the measured concentrations of volatile organic compounds (VOC) in the vapour phase. Screening could be considered based on setting the SAC as the modelled soil saturation limits. However, as stated within the CLEA handbook<sup>(9)</sup>, this is likely to not be practical in many cases because of the very low saturation limits and, in any case, is highly conservative.

It should also be noted that for mixtures of compounds, free phase may be present where soil (or groundwater) concentrations are well below saturation limits for individual compounds.

Where the vapour pathway is only one of the exposure pathways considered, an additional approach can then be utilised as detailed within Section 4.12 of the CLEA model handbook<sup>(9)</sup>, which explains how to calculate an effective assessment criterion manually.

SR3<sup>(5)</sup> states that, as a general rule of thumb, it is recognised that estimating vapour phase concentrations from dissolved and sorbed phase contamination by petroleum hydrocarbons are at least a factor of ten higher than those likely to be measured on-site. RSK has therefore applied an empirical subsurface to indoor air correction factor of 10 into the CLEA model chemical database for all petroleum hydrocarbon fractions (including BTEX, trimethylbenzenes and the polycyclic aromatic hydrocarbons (PAH) naphthalene, acenaphthene and acenaphthylene) to reduce this conservatism.

### *Input selection*

The most up-to-date published chemical and toxicological data was obtained from EA Report SC050021/SR7<sup>(10)</sup>, the EA TOX<sup>(1)</sup> reports, the C4SL SP1010 project report and associated appendices<sup>(3,6)</sup>, the 2015 LQM/CIEH report<sup>(7)</sup> or the USEPA IRIS database<sup>(14)</sup>. Where a LLTC<sup>(3,6)</sup> has been published for a substance, RSK has used these input parameters to derive the RSK GAC. Toxicological and specific chemical parameters for 1,2,4-trimethylbenzene, barium, methyl tertiary-butyl ether (MTBE), 1,1,2-trichloroethane, 1,1-dichloroethene, 1,2-dichloropropane, 2-chloronaphthalene, chloroethane, chloromethane, cis 1,2-dichloroethene, dichloromethane, hexachloroethane and trans 1,2-dichloroethene were obtained from the CL:AIRE Soil Generic Assessment Criteria report<sup>(11)</sup>.

For TPH, aromatic hydrocarbons C<sub>5</sub>–C<sub>8</sub> were not modelled, as this range comprises benzene (>EC5-EC7) and toluene (>EC7-EC8), which are modelled separately.

### *Physical parameters*

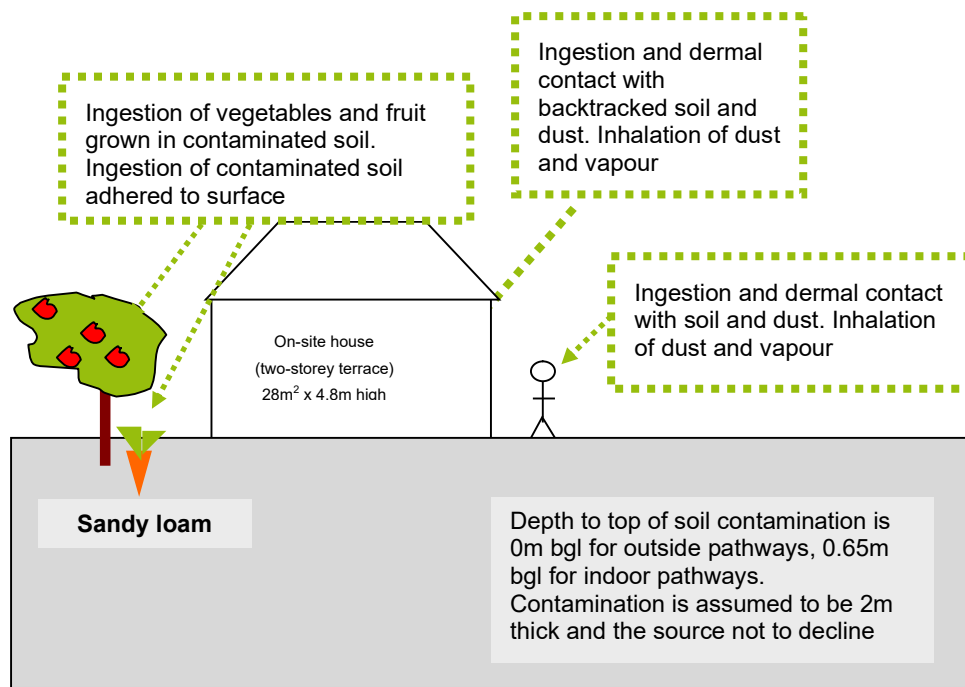
For the residential with home-grown produce scenario, the CLEA default building is a small, two-storey terrace house with a concrete ground-bearing slab. The house is assumed to have a 100m<sup>2</sup> private garden consisting of lawn and flowerbeds, incorporating a 20m<sup>2</sup> plot for growing fruit and vegetables consumed by the residents. SR3<sup>(5)</sup> notes this residential building type to be the most conservative in terms of potential for vapour intrusion. The building parameters used in the production of the RSK GACs are the default CLEA v1.06 inputs presented in Table 3.3 of SR3<sup>(3)</sup>, with a dust loading factor detailed in Section 9.3 of SR3<sup>(5)</sup>. The parameters for a sandy loam soil type were used in line with Table 4.4 of SR3<sup>(5)</sup>. This includes a value of 6% for the percentage of soil organic matter (SOM) within the soil. In RSK's experience, this is rather high for many sites. To avoid undertaking site-specific risk assessments for SOM, RSK has produced an additional set of GAC for SOM of 1% and 2.5% for all substances using the CLEA tool.

### *Summary of modifications to the default CLEA SR3<sup>(5)</sup> input parameters for residential with home-grown produce land-use scenario*

In summary, the RSK GAC were produced using the default input parameters for soil properties, the air dispersion model, building properties and the vapour model detailed in SR3<sup>(5)</sup>. Modifications to the default SR3<sup>(5)</sup> exposure scenarios based on the C4SL exposure scenarios<sup>(3)</sup> are presented in Tables 2 and 3 below.

The final selected GAC are presented by pathway in Table 4 and the combined GAC in Table 5.

**Figure 1: Conceptual model for residential scenario with home-grown produce**



**Table 1: Exposure assessment parameters for residential scenario with home-grown produce – inputs for CLEA model**

Parameter	Value	Justification
Land use	Residential with homegrown produce	Chosen land use
Receptor	Female child age 1 to 6	Key generic assumption given in Box 3.1, SR3 <sup>(5)</sup>
Building	Small terraced house	Key generic assumption given in Box 3.1, SR3. Small, two-storey terraced house chosen, as it is the most conservative residential building type in terms of protection from vapor intrusion (Section 3.4.6, SR3) <sup>(5)</sup>
Soil type	Sandy Loam	Most common UK soil type (Section 4.3.1, from Table 3.1, SR3) <sup>(5)</sup>
Start AC (age class)	1	Range of age classes corresponding to key generic assumption that the critical receptor is a young female child aged 0–6. From Box 3.1, SR3 <sup>(5)</sup>
End AC (age class)	6	
SOM (%)	6	Representative of sandy loamy soil according to EA guidance note dated January 2009 entitled 'Changes We Have Made to the CLEA Framework Documents' <sup>(13)</sup>
	1	To provide SAC for sites where SOM <6% as often observed by RSK
	2.5	
pH	7	Model default

**Table 2: Residential with home-grown produce – modified home-grown produce data**

Name	Consumption rate 90 <sup>th</sup> percentile (g FW kg <sup>-1</sup> BW day <sup>-1</sup> ) by age class						Dry weight conversion factor (g DW g <sup>-1</sup> FW)	Home-grown fraction (average)	Home-grown fraction (high end)	Soil loading factor (g g <sup>-1</sup> DW)	Preparation correction factor
	1	2	3	4	5	6					
Green vegetables	7.12	5.87	5.87	5.87	4.53	4.53	0.096	0.05	0.33	1.00E-03	2.00E-01
Root vegetables	10.7	2.83	2.83	2.83	2.14	2.14	0.103	0.06	0.4	1.00E-03	1.00E+00
Tuber vegetables	16	6.6	6.6	6.6	4.95	4.95	0.21	0.02	0.13	1.00E-03	1.00E+00
Herbaceous fruit	1.83	3.39	3.39	3.39	2.24	2.24	0.058	0.06	0.4	1.00E-03	6.00E-01
Shrub fruit	2.23	0.46	0.46	0.46	0.19	0.19	0.166	0.09	0.6	1.00E-03	6.00E-01
Tree fruit	3.82	10.3	10.3	10.3	5.16	5.16	0.157	0.04	0.27	1.00E-03	6.00E-01
Justification	Table 3.4, SP1010 <sup>(3)</sup>						Table 6.3, SR3 <sup>(5)</sup>	Table 4.19, SR3 <sup>(5)</sup>		Table 6.3, SR3 <sup>(5)</sup>	

**Table 3: Residential with home-grown produce – modified and use and receptor data**

Parameter	Unit	Age class					
		1	2	3	4	5	6
EF (soil and dust ingestion)	day yr <sup>-1</sup>	180	365	365	365	365	365
EF (consumption of home-grown produce)	day yr <sup>-1</sup>	180	365	365	365	365	365
EF (skin contact, indoor)	day yr <sup>-1</sup>	180	365	365	365	365	365
EF (skin contact, outdoor)	day yr <sup>-1</sup>	170	170	170	170	170	170
EF (inhalation of dust and vapour, indoor)	day yr <sup>-1</sup>	365	365	365	365	365	365
EF (inhalation of dust and vapour, outdoor)	day yr <sup>-1</sup>	365	365	365	365	365	365
Justification	Table 3.5, SP1010 <sup>(3)</sup> ; Table 3.1, SR3 <sup>(5)</sup>						
Soil to skin adherence factor (outdoor)	mg cm <sup>-2</sup> day <sup>-1</sup>	0.1	0.1	0.1	0.1	0.1	0.1
Justification	Table 3.5, SP1010 <sup>(3)</sup>						
Inhalation rate	m <sup>3</sup> day <sup>-1</sup>	5.4	8.0	8.9/f	10.1	10.1	10.1
Justification	Mean value USEPA, 2011 <sup>(12)</sup> ; Table 3.2, SP1010 <sup>(3)</sup>						
Notes: For <b>cadmium</b> , the exposure assessment for a residential land use is based on estimates representative of lifetime exposure AC1-18. This is because the TDI <sub>oral</sub> and TDI <sub>inh</sub> are based on considerations of the kidney burden accumulated over 50 years. It is therefore reasonable to consider exposure not just in childhood but averaged over a longer period. See the Environment Agency Science Report SC05002/ TOX 3 <sup>(1)</sup> , Science Report SC050021/Cadmium SGV <sup>(1)</sup> and the project report SP1010 <sup>(3)</sup> for more information.							

## References

1. Environment Agency (2009), 'Science Reports SC050021 - SGV and TOX reports for: benzene, toluene, ethylbenzene, xylene, mercury, selenium, nickel, arsenic, cadmium, phenol, dioxins, furans and dioxin-like PCBs'; 'Supplementary information for the derivation of SGV for: benzene, toluene, ethylbenzene, xylene, mercury, selenium, nickel, arsenic, cadmium, phenol, dioxins, furans and dioxin-like PCBs', and 'Contaminants in soil: updated collation of toxicological data and intake values for humans: benzene, toluene, ethylbenzene, xylene, mercury, selenium, nickel, arsenic, cadmium, phenol, dioxins, furans and dioxin-like PCBs'. Available at: <https://www.gov.uk/government/publications/contaminants-in-soil-updated-collation-of-toxicological-data-and-intake-values-for-humans> and <https://www.gov.uk/government/publications/land-contamination-soil-guideline-values-sgvs> (accessed 4 February 2015)
2. Nathaniel, C. P., McCaffrey, C., Ashmore, M., Cheng, Y., Gillet, A. G., Ogden, R. C. and Scott, D. (2009), *LQM/CIEH Generic Assessment Criteria for Human Health Risk Assessment*, second edition (Nottingham: Land Quality Press).
3. Contaminated Land: Applications in Real Environment (CL:AIRE) (2014). 'Development of Category 4 Screening Levels for Assessment of Land Affected by Contamination', Revision 2, DEFRA research project SP1010.
4. Department for Environment, Food and Rural Affairs (Defra) (2014), 'SP1010: Development of Category 4 Screening Levels for assessment of land affected by contamination – Policy Companion Document', Revision 2.
5. Environment Agency (2009), *Science Report – SC050021/SR3. Updated technical background to the CLEA model* (Bristol: Environment Agency).
6. Contaminated Land: Applications in Real Environment (CL:AIRE) (2014). 'Appendices C to H). DEFRA research project SP1010'. CL:AIRE (2021). Category 4 Screening Levels: Trichloroethene (TCE). CL:AIRE, London. ISBN 978-1-905046-38-6. CL:AIRE (2021). Category 4 Screening Levels: Vinyl Chloride. CL:AIRE, London. ISBN 978-1-905046-36-2. CL:AIRE (2021). Category 4 Screening Levels: Tetrachloroethene (PCE). CL:AIRE, London. ISBN 978-1-905046-37-9.
7. Nathaniel, C. P., McCaffrey, C., Gillet, A. G., Ogden, R. C. and Nathaniel, J. F. (2015), *The LQM/CIEH S4ULs for Human Health Risk Assessment* (Nottingham: Land Quality Press).
8. Environment Agency (2009), *Human health toxicological assessment of contaminants in soil. Science Report – Final SC050021/SR2* (Bristol: Environment Agency).
9. Environment Agency (2009), *Science Report – SC050021/SR4 CLEA Software (version 1.05) Handbook* (Bristol: Environment Agency).
10. Environment Agency (2008), *Science Report SC050021/SR7. Compilation of Data for Priority Organic Pollutants for Derivation of Soil Guideline Values* (Bristol: Environment Agency).
11. CL:AIRE (2010), *Soil Generic Assessment Criteria for Human Health Risk Assessment* (London: CL:AIRE).
12. USEPA (2011), *Exposure factors handbook*, EPA/600/R-090/052F (Washington, DC: Office of Research and Development).
13. Environment Agency (2009), 'Changes made to the CLEA framework documents after the three-month evaluation period in 2008', released January 2009.



14. USEPA (2010). Hydrogen cyanide and cyanide salts. Integrated Risk Information Systems (IRIS) Chemical Assessment Summary. September 2010. <https://www.epa.gov/iris> (accessed 9 December 2015)

GENERIC ASSESSMENT CRITERIA FOR HUMAN HEALTH - RESIDENTIAL WITH HOME-GROWN PRODUCE



Table 4  
Human Health Generic Assessment Criteria by Pathway for Residential With Home-Grown Produce Scenario

Compound	Notes	SAC Appropriate to Pathway SOM 1% (mg/kg)			Soil Saturation Limit (mg/kg)	SAC Appropriate to Pathway SOM 2.5% (mg/kg)			Soil Saturation Limit (mg/kg)	SAC Appropriate to Pathway SOM 6% (mg/kg)			Soil Saturation Limit (mg/kg)
		Oral	Inhalation	Combined		Oral	Inhalation	Combined		Oral	Inhalation	Combined	
<b>Metals</b>													
Arsenic	(a,b)	3.71E+01	5.26E+02	NR	NR	3.71E+01	5.26E+02	NR	NR	3.71E+01	5.26E+02	NR	NR
Barium	(b)	1.34E+03	NR	NR	NR	1.34E+03	NR	NR	NR	1.34E+03	NR	NR	NR
Beryllium		1.13E+02	1.72E+00	NR	NR	1.13E+02	1.72E+00	NR	NR	1.13E+02	1.72E+00	NR	NR
Boron		3.00E+02	5.20E+06	NR	NR	3.00E+02	5.20E+06	NR	NR	3.00E+02	5.20E+06	NR	NR
Cadmium	(a)	2.30E+01	4.88E+02	2.21E+01	NR	2.30E+01	4.88E+02	2.21E+01	NR	2.30E+01	4.88E+02	2.21E+01	NR
Chromium (III) - trivalent	(c)	1.84E+04	9.07E+02	NR	NR	1.84E+04	9.07E+02	NR	NR	1.84E+04	9.07E+02	NR	NR
Chromium (VI) - hexavalent	(a,d)	5.85E+01	2.06E+01	NR	NR	5.85E+01	2.06E+01	NR	NR	5.85E+01	2.06E+01	NR	NR
Copper		2.72E+03	1.41E+04	2.47E+03	NR	2.72E+03	1.41E+04	2.47E+03	NR	2.72E+03	1.41E+04	2.47E+03	NR
Lead	(a)	2.01E+02	NR	NR	NR	2.01E+02	NR	NR	NR	2.01E+02	NR	NR	NR
Elemental Mercury (Hg <sup>0</sup> )	(d)	NR	2.35E-01	NR	4.31E+00	NR	5.60E-01	NR	1.07E+01	NR	1.22E+00	NR	2.58E+01
Inorganic Mercury (Hg <sup>2+</sup> )		3.95E+01	3.63E+03	3.91E+01	NR	3.95E+01	3.63E+03	3.91E+01	NR	3.95E+01	3.63E+03	3.91E+01	NR
Methyl Mercury (Hg <sup>+</sup> )		1.26E+01	1.87E+01	7.52E+00	7.33E+01	1.26E+01	3.62E+01	9.34E+00	1.42E+02	1.26E+01	7.68E+01	1.08E+01	3.04E+02
Nickel	(d)	1.27E+02	1.81E+02	NR	NR	1.27E+02	1.81E+02	NR	NR	1.27E+02	1.81E+02	NR	NR
Selenium	(b)	2.58E+02	NR	NR	NR	2.58E+02	NR	NR	NR	2.58E+02	NR	NR	NR
Vanadium		4.13E+02	1.46E+03	NR	NR	4.13E+02	1.46E+03	NR	NR	4.13E+02	1.46E+03	NR	NR
Zinc	(b)	3.86E+03	3.63E+07	NR	NR	3.86E+03	3.63E+07	NR	NR	3.86E+03	3.63E+07	NR	NR
Cyanide (free)		1.37E+00	1.37E+04	1.37E+00	NR	1.37E+00	1.37E+04	1.37E+00	NR	1.37E+00	1.37E+04	1.37E+00	NR
<b>Volatile Organic Compounds</b>													
Benzene	(a)	2.62E-01	9.01E-01	2.03E-01	1.22E+03	5.39E-01	1.68E+00	4.08E-01	2.26E+03	1.16E+00	3.48E+00	8.72E-01	4.71E+03
Toluene		1.53E+02	9.08E+02	1.31E+02	8.69E+02	3.49E+02	2.00E+03	2.97E+02	1.92E+03	7.95E+02	4.55E+03	6.77E+02	4.36E+03
Ethylbenzene		1.10E+02	8.34E+01	4.74E+01	5.18E+02	2.61E+02	1.96E+02	1.12E+02	1.22E+03	6.00E+02	4.58E+02	2.60E+02	2.84E+03
Xylene - m		2.10E+02	8.25E+01	5.92E+01	6.25E+02	5.01E+02	1.95E+02	1.40E+02	1.47E+03	1.15E+03	4.56E+02	3.27E+02	3.46E+03
Xylene - o		1.92E+02	8.87E+01	6.07E+01	4.78E+02	4.56E+02	2.08E+02	1.43E+02	1.12E+03	1.05E+03	4.86E+02	3.32E+02	2.62E+03
Xylene - p		1.98E+02	7.93E+01	5.66E+01	5.76E+02	4.70E+02	1.86E+02	1.33E+02	1.35E+03	1.08E+03	4.36E+02	3.10E+02	3.17E+03
Total xylene		1.92E+02	7.93E+01	5.66E+01	6.25E+02	4.56E+02	1.86E+02	1.33E+02	1.47E+03	1.05E+03	4.36E+02	3.10E+02	3.46E+03
Methyl tertiary-Butyl ether (MTBE)		1.54E+02	1.04E+02	6.22E+01	2.04E+04	2.97E+02	1.69E+02	1.08E+02	3.31E+04	6.03E+02	3.21E+02	2.10E+02	6.27E+04
1,1,1,2-Tetrachloroethane		5.39E+00	1.54E+00	1.20E+00	2.60E+03	1.27E+01	3.56E+00	2.78E+00	6.02E+03	2.92E+01	8.29E+00	6.46E+00	1.40E+04
1,1,2,2-Tetrachloroethane		2.81E+00	3.92E+00	1.64E+00	2.67E+03	6.10E+00	8.04E+00	3.47E+00	5.46E+03	1.36E+01	1.76E+01	7.67E+00	1.20E+04
1,1,1-Trichloroethane		3.33E+02	9.01E+00	8.77E+00	1.43E+03	7.26E+02	1.84E+01	1.80E+01	2.92E+03	1.62E+03	4.04E+01	3.94E+01	6.39E+03
1,1,2 Trichloroethane		1.95E+00	1.25E+00	7.62E-01	4.03E+03	4.21E+00	2.55E+00	1.59E+00	8.21E+03	9.35E+00	5.59E+00	3.50E+00	1.80E+04
1,1-Dichloroethene		1.93E+01	3.29E-01	3.23E-01	2.23E+03	3.85E+01	5.82E-01	5.74E-01	3.94E+03	8.15E+01	1.17E+00	1.16E+00	7.94E+03
1,2-Dichloroethane		3.17E-02	9.20E-03	7.13E-03	3.41E+03	5.73E-02	1.33E-02	1.08E-02	4.91E+03	1.09E-01	2.28E-02	1.88E-02	8.43E+03
1,2,4-Trimethylbenzene		NR	1.76E+00	NR	4.74E+02	NR	4.26E+00	NR	1.16E+03	NR	9.72E+00	NR	2.76E+03
1,3,5-Trimethylbenzene	(e)	NR	NR	NR	2.30E+02	NR	NR	NR	5.52E+02	NR	NR	NR	1.30E+03
1,2-Dichloropropane		4.28E+00	3.40E-02	3.37E-02	1.19E+03	8.44E+00	6.00E-02	5.96E-02	2.11E+03	1.77E+01	1.21E-01	1.20E-01	4.24E+03
Carbon Tetrachloride (tetrachloromethane)		3.10E+00	2.58E-02	2.57E-02	1.52E+03	7.11E+00	5.65E-02	5.62E-02	3.32E+03	1.62E+01	1.28E-01	1.27E-01	7.54E+03
Chloroethane		NR	1.17E+01	NR	2.61E+03	NR	1.59E+01	NR	3.54E+03	NR	2.57E+01	NR	5.71E+03
Chloromethane		NR	1.17E-02	NR	1.91E+03	NR	1.38E-02	NR	2.24E+03	NR	1.85E-02	NR	2.99E+03
Cis 1,2 Dichloroethene		1.56E-01	NR	NR	3.94E+03	2.66E-01	NR	NR	6.61E+03	5.18E-01	NR	NR	1.29E+04
Dichloromethane		7.04E-01	3.05E+00	6.24E-01	7.27E+03	1.27E+00	4.06E+00	1.08E+00	9.68E+03	2.33E+00	6.42E+00	1.92E+00	1.53E+04
Tetrachloroethene (PCE)		1.33E+01	3.19E-01	3.11E-01	4.24E+02	3.11E+01	7.15E-01	6.99E-01	9.51E+02	7.12E+01	1.64E+00	1.60E+00	2.18E+03
Trans 1,2 Dichloroethene		6.45E+00	2.76E-01	NR	3.42E+03	1.29E+01	4.99E-01	NR	6.17E+03	2.74E+01	1.02E+00	NR	1.26E+04
Trichloroethene (TCE)		9.30E-03	3.61E-02	NR	1.54E+03	1.95E-02	7.57E-02	NR	3.22E+03	4.34E-02	1.68E-01	NR	7.14E+03
Vinyl Chloride (chloroethene)		1.13E-02	1.47E-02	6.38E-03	1.36E+03	2.09E-02	1.90E-02	9.97E-03	1.76E+03	3.88E-02	2.91E-02	1.66E-02	2.69E+03
<b>Semi-Volatile Organic Compounds</b>													
2-Chloronaphthalene		2.76E+02	5.39E+00	5.29E+00	1.14E+02	6.59E+02	1.33E+01	1.30E+01	2.80E+02	1.45E+03	3.17E+01	3.10E+01	6.69E+02



GENERIC ASSESSMENT CRITERIA FOR HUMAN HEALTH - RESIDENTIAL WITH HOME-GROWN PRODUCE



Table 4  
Human Health Generic Assessment Criteria by Pathway for Residential With Home-Grown Produce Scenario

Compound	Notes	SAC Appropriate to Pathway SOM 1% (mg/kg)			Soil Saturation Limit (mg/kg)	SAC Appropriate to Pathway SOM 2.5% (mg/kg)			Soil Saturation Limit (mg/kg)	SAC Appropriate to Pathway SOM 6% (mg/kg)			Soil Saturation Limit (mg/kg)
		Oral	Inhalation	Combined		Oral	Inhalation	Combined		Oral	Inhalation	Combined	
Acenaphthene		2.27E+02	4.86E+04	2.26E+02	5.70E+01	5.41E+02	1.18E+05	5.38E+02	1.41E+02	1.18E+03	2.68E+05	1.17E+03	3.36E+02
Acenaphthylene		1.85E+02	4.59E+04	1.84E+02	8.61E+01	4.42E+02	1.11E+05	4.40E+02	2.12E+02	9.78E+02	2.53E+05	9.74E+02	5.06E+02
Anthracene		2.43E+03	1.53E+05	2.39E+03	1.17E+00	5.53E+03	3.77E+05	5.45E+03	2.91E+00	1.10E+04	8.76E+05	1.09E+04	6.96E+00
Benzo(a)anthracene		1.01E+01	2.47E+01	7.18E+00	1.71E+00	1.42E+01	4.37E+01	1.07E+01	4.28E+00	1.69E+01	6.26E+01	1.33E+01	1.03E+01
Benzo(a)pyrene	(a)	4.96E+00	3.51E+01	NR	9.11E-01	4.96E+00	3.77E+01	NR	2.28E+00	4.96E+00	3.89E+01	NR	5.46E+00
Benzo(b)fluoranthene		2.96E+00	1.93E+01	2.56E+00	1.22E+00	3.89E+00	2.13E+01	3.29E+00	3.04E+00	4.43E+00	2.22E+01	3.69E+00	7.29E+00
Benzo(g,h,i)perylene		3.77E+02	1.87E+03	3.14E+02	1.54E-02	4.09E+02	1.94E+03	3.38E+02	3.85E-02	4.23E+02	1.97E+03	3.48E+02	9.23E-02
Benzo(k)fluoranthene		8.92E+01	5.41E+02	7.66E+01	6.87E-01	1.10E+02	5.76E+02	9.22E+01	1.72E+00	1.21E+02	5.91E+02	1.00E+02	4.12E+00
Chrysene		1.66E+01	1.19E+02	1.46E+01	4.40E-01	2.54E+01	1.49E+02	2.17E+01	1.10E+00	3.19E+01	1.66E+02	2.67E+01	2.64E+00
Dibenzo(a,h)anthracene		2.90E-01	1.45E+00	2.41E-01	3.93E-03	3.43E-01	1.64E+00	2.84E-01	9.82E-03	3.69E-01	1.74E+00	3.04E-01	2.36E-02
Fluoranthene		2.87E+02	3.83E+04	2.85E+02	1.89E+01	5.63E+02	8.87E+04	5.60E+02	4.73E+01	9.00E+02	1.83E+05	8.96E+02	1.13E+02
Fluorene		1.77E+02	6.20E+03	1.72E+02	3.09E+01	4.19E+02	1.53E+04	4.07E+02	7.65E+01	8.98E+02	3.62E+04	8.77E+02	1.83E+02
Hexachloroethane		2.68E-01	NR	NR	8.17E+00	6.57E-01	NR	NR	2.01E+01	1.55E+00	NR	NR	4.81E+01
Indeno(1,2,3-cd)pyrene		3.09E+01	2.12E+02	2.70E+01	6.13E-02	4.22E+01	2.38E+02	3.59E+01	1.53E-01	4.92E+01	2.50E+02	4.11E+01	3.68E-01
Naphthalene		2.78E+01	2.33E+01	1.27E+01	7.64E+01	6.66E+01	5.58E+01	3.04E+01	1.83E+02	1.53E+02	1.31E+02	7.06E+01	4.32E+02
Phenanthrene		9.85E+01	7.17E+03	9.72E+01	3.60E+01	2.24E+02	1.76E+04	2.22E+02	8.96E+01	4.48E+02	4.07E+04	4.43E+02	2.14E+02
Pyrene		6.25E+02	8.79E+04	6.20E+02	2.20E+00	1.25E+03	2.04E+05	1.24E+03	5.49E+00	2.05E+03	4.23E+05	2.04E+03	1.32E+01
Phenol		1.60E+02	4.58E+02	1.20E+02	2.42E+04	2.96E+02	6.95E+02	2.09E+02	3.81E+04	5.86E+02	1.19E+03	3.93E+02	7.03E+04
<b>Total Petroleum Hydrocarbons</b>													
Aliphatic hydrocarbons EC <sub>2</sub> -EC <sub>6</sub>		4.99E+03	4.24E+01	4.23E+01	3.04E+02	1.13E+04	7.79E+01	7.78E+01	5.58E+02	2.50E+04	1.61E+02	1.60E+02	1.15E+03
Aliphatic hydrocarbons >EC <sub>2</sub> -EC <sub>3</sub>		1.49E+04	1.04E+02	1.03E+02	1.44E+02	3.43E+04	2.31E+02	2.31E+02	3.22E+02	7.11E+04	5.29E+02	5.28E+02	7.36E+02
Aliphatic hydrocarbons >EC <sub>7</sub> -EC <sub>10</sub>		1.61E+03	2.68E+01	2.67E+01	7.77E+01	2.91E+03	6.55E+01	6.51E+01	1.90E+02	4.26E+03	1.56E+02	1.54E+02	4.51E+02
Aliphatic hydrocarbons >EC <sub>10</sub> -EC <sub>12</sub>		4.57E+03	1.33E+02	1.32E+02	4.75E+01	5.51E+03	3.31E+02	3.26E+02	1.18E+02	5.98E+03	7.93E+02	7.65E+02	2.83E+02
Aliphatic hydrocarbons >EC <sub>12</sub> -EC <sub>16</sub>		6.27E+03	1.11E+03	1.06E+03	2.37E+01	6.34E+03	2.78E+03	2.41E+03	5.91E+01	6.36E+03	6.67E+03	4.34E+03	1.42E+02
Aliphatic hydrocarbons >EC <sub>16</sub> -EC <sub>35</sub>	(b)	6.46E+04	NR	NR	8.48E+00	9.17E+04	NR	NR	2.12E+01	1.10E+05	NR	NR	5.09E+01
Aliphatic hydrocarbons >EC <sub>35</sub> -EC <sub>44</sub>	(b)	6.46E+04	NR	NR	8.48E+00	9.17E+04	NR	NR	2.12E+01	1.10E+05	NR	NR	5.09E+01
Aromatic hydrocarbons >EC8-EC <sub>10</sub>		5.76E+01	4.74E+01	3.45E+01	6.13E+02	1.38E+02	1.16E+02	8.38E+01	1.50E+03	3.07E+02	2.77E+02	1.94E+02	3.58E+02
Aromatic hydrocarbons >EC <sub>10</sub> -EC <sub>12</sub>		8.29E+01	2.58E+02	7.52E+01	3.64E+02	1.96E+02	6.39E+02	1.79E+02	8.99E+02	4.25E+02	1.52E+03	3.91E+02	2.15E+03
Aromatic hydrocarbons >EC <sub>12</sub> -EC <sub>16</sub>		1.47E+02	2.85E+03	1.45E+02	1.69E+02	3.36E+02	7.07E+03	3.32E+02	4.19E+02	6.81E+02	1.68E+04	6.74E+02	1.00E+03
Aromatic hydrocarbons >EC <sub>16</sub> -EC <sub>21</sub>	(b)	2.63E+02	NR	NR	5.37E+01	5.45E+02	NR	NR	1.34E+02	9.34E+02	NR	NR	3.21E+02
Aromatic hydrocarbons >EC <sub>21</sub> -EC <sub>35</sub>	(b)	1.09E+03	NR	NR	4.83E+00	1.47E+03	NR	NR	1.21E+01	1.70E+03	NR	NR	2.90E+01
Aromatic hydrocarbons >EC <sub>35</sub> -EC <sub>44</sub>	(b)	1.09E+03	NR	NR	4.83E+00	1.47E+03	NR	NR	1.21E+01	1.70E+03	NR	NR	2.90E+01

Notes:

EC - equivalent carbon. SAC - soil assessment criteria.

The CLEA model output is colour coded depending upon whether the soil saturation limit has been exceeded.

	Calculated SAC exceeds soil saturation limit and may significantly affect the interpretation of any exceedances as the contribution of the indoor and outdoor vapour pathway to total exposure is >10%.
	Calculated SAC exceeds soil saturation limit but the exceedance will not affect the SAC significantly as the contribution of the indoor and outdoor vapour pathway to total exposure is <10%.
	Calculated SAC does not exceed the soil saturation limit.

The SAC for organic compounds are dependant upon soil organic matter (SOM) (%) content. To obtain SOM from total organic carbon (TOC) (%) divide by 0.58. 1% SOM is 0.58% TOC. DL Rowell Soil Science: Methods and Applications, Longmans, 1994.

SAC for TPH fractions, PAHs naphthalene, acenaphthene and acenaphthylene, BTEX and trimethylbenzene compounds were produced using an attenuation factor for the indoor air inhalation pathway of 10 to reduce conservatism associated with the vapour inhalation pathway (Section 10.1.1, SR3)

(a) SAC for arsenic, benzene, benzo(a)pyrene, cadmium, chromium VI and lead are derived using the C4SL toxicology data.

(b) SAC for boron and selenium should not include the inhalation pathway as no expert group HCV has been derived; aliphatic and aromatic hydrocarbons >EC16 should not include inhalation pathway due to their non-volatile nature and inhalation exposure being minimal (oral, dermal and inhalation exposure is compared to the oral HCV); arsenic should only be based on oral contribution (rather than combined) owing to the relative small contribution from inhalation in accordance with the SGV report. The Oral SAC should be adopted for zinc and benzo(a)pyrene.

(c) SAC for CrIII should be based on the lower of the oral and inhalation SAC (see LQM/CIEH 2015 Section 6.8)

(d) SAC for elemental mercury, chromium VI and nickel should be based on the inhalation pathway only.

(e) SAC for 1,3,5-trimethylbenzene is not recorded owing to the lack of toxicological data, SAC for 1,2,4 trimethylbenzene may be used.

GENERIC ASSESSMENT CRITERIA FOR HUMAN HEALTH - RESIDENTIAL WITH HOME-GROWN PRODUCE



**Table 5**  
Human Health Generic Assessment Criteria for Residential with home-grown produce

Compound	SAC for Soil SOM 1% (mg/kg)	SAC for Soil SOM 2.5% (mg/kg)	SAC for Soil SOM 6% (mg/kg)
<b>Metals</b>			
Arsenic	37	37	37
Barium	1,300	1,300	1,300
Beryllium	1.7	1.7	1.7
Boron	300	300	300
Cadmium	22	22	22
Chromium (III) - trivalent	910	910	910
Chromium (VI) - hexavalent	21	21	21
Copper	2,500	2,500	2,500
Lead	200	200	200
Elemental Mercury (Hg <sup>0</sup> )	0.2	0.6	1.2
Inorganic Mercury (Hg <sup>2+</sup> )	39	39	39
Methyl Mercury (Hg <sup>4+</sup> )	10	10	10
Nickel	130	130	130
Selenium	258	258	258
Vanadium	410	410	410
Zinc	3,900	3,900	3,900
Cyanide (free)	1.4	1.4	1.4
<b>Volatile Organic Compounds</b>			
Benzene	0.20	0.41	0.87
Toluene	130	300	680
Ethylbenzene	50	110	260
Xylene - m	59	140	327
Xylene - o	61	143	332
Xylene - p	57	133	310
Total xylene	57	133	310
Methyl tertiary-Butyl ether (MTBE)	60	110	210
1,1,1,2-Tetrachloroethane	1.20	2.78	6.46
1,1,2,2-Tetrachloroethane	1.6	3.5	7.7
1,1,1-Trichloroethane	9	18	39
1,1,2-Trichloroethane	0.8	1.6	3.5
1,1-Dichloroethane	0.32	0.57	1.16
1,2-Dichloroethane	0.007	0.011	0.019
1,2,4-Trimethylbenzene	1.8	4.3	9.7
1,3,5-Trimethylbenzene	NR	NR	NR
1,2-Dichloropropane	0.034	0.060	0.120
Carbon Tetrachloride (tetrachloromethane)	0.026	0.056	0.127
Chloroethane	11.7	15.9	25.7
Chloromethane	0.012	0.014	0.019
Cis 1,2-Dichloroethane	0.16	0.27	0.52
Dichloromethane	0.62	1.08	1.92
Tetrachloroethane (PCE)	0.31	0.70	1.60
Trans 1,2-Dichloroethane	0.28	0.50	1.02
Trichloroethane (TCE)	0.009	0.020	0.043
Vinyl Chloride (chloroethene)	0.006	0.010	0.017
<b>Semi-Volatile Organic Compounds</b>			
2-Chloronaphthalene	5	13	31
Acenaphthene	230	540	1,170
Acenaphthylene	180	440	970
Anthracene	2,400	5,500	10,900
Benzo(a)anthracene	7	11	13
Benzo(a)pyrene	5	5	5
Benzo(b)fluoranthene	2.6	3.3	3.7
Benzo(g,h,i)perylene	310	340	350
Benzo(k)fluoranthene	77	92	100
Chrysene	15	22	27
Dibenzo(a,h)anthracene	0.24	0.28	0.30
Fluoranthene	290	560	900
Fluorene	170	410	880
Hexachloroethane	0.27	0.66	1.55
Indeno(1,2,3-cd)pyrene	13	30	71
Naphthalene	13	30	71
Phenanthrene	100	220	440
Pyrene	620	1,240	2,040
Phenol	120	210	390
<b>Total Petroleum Hydrocarbons</b>			
Aliphatic hydrocarbons EC <sub>7</sub> -EC <sub>5</sub>	42	78	160
Aliphatic hydrocarbons >EC <sub>6</sub> -EC <sub>8</sub>	100	230	530
Aliphatic hydrocarbons >EC <sub>8</sub> -EC <sub>10</sub>	27	65	154
Aliphatic hydrocarbons >EC <sub>10</sub> -EC <sub>12</sub>	130 (48)	330 (118)	760 (283)
Aliphatic hydrocarbons >EC <sub>12</sub> -EC <sub>16</sub>	1,100 (24)	2,400 (59)	4,300 (142)
Aliphatic hydrocarbons >EC <sub>16</sub> -EC <sub>35</sub>	65,000 (8)	92,000 (21)	110,000
Aliphatic hydrocarbons >EC <sub>35</sub> -EC <sub>44</sub>	65,000 (8)	92,000 (21)	110,000
Aromatic hydrocarbons >EC <sub>3</sub> -EC <sub>10</sub>	30	80	190
Aromatic hydrocarbons >EC <sub>10</sub> -EC <sub>12</sub>	80	180	390
Aromatic hydrocarbons >EC <sub>12</sub> -EC <sub>16</sub>	140	330	670
Aromatic hydrocarbons >EC <sub>16</sub> -EC <sub>21</sub>	260	540	930
Aromatic hydrocarbons >EC <sub>21</sub> -EC <sub>35</sub>	1,100	1,500	1,700
Aromatic hydrocarbons >EC <sub>35</sub> -EC <sub>44</sub>	1,100	1,500	1,700
<b>Minerals</b>			
Asbestos	Stage 1 test – No asbestos detected with ID; Stage 2 test - <0.001% dry weight (exceedance of either equates to an exceedance of the GAC) <sup>1</sup>		
<b>Notes:</b>			
* Generic assessment criteria not calculated owing to low volatility of substance and therefore no pathway, or an absence of toxicological data.			
NR - SAC for 1,3,5-trimethylbenzene is not recorded owing to the lack of toxicological data, SAC for 1,2,4-trimethylbenzene may be used			
EC - equivalent carbon. SAC - soil assessment criteria.			
<sup>1</sup> LOD for weight of asbestos per unit weight of soil calculated on a dry weight basis using PLM, handpicking and gravimetry.			
The SAC for organic compounds are dependent on Soil Organic Matter (SOM) (%) content. To obtain SOM from total organic carbon (TOC) (%) divide by 0.58.			
1% SOM is 0.58% TOC. DL Rowell Soil Science: Methods and Applications, Longmans, 1994.			
SAC for TPH fractions, PAHs naphthalene, acenaphthene and acenaphthylene, BTEX and trimethylbenzene compounds were produced using an attenuation factor for the indoor air inhalation pathway of 10 to reduce conservatism associated with the vapour inhalation pathway, section 10.1.1, SR3.			
(VALUE IN BRACKETS)			
RSK has adopted an approach for petroleum hydrocarbons in accordance with LQM/ClEH whereby the concentration modelled for each petroleum hydrocarbon fraction has been tabulated as the SAC with the corresponding solubility or vapour saturation limits given in brackets.			

# GENERIC ASSESSMENT CRITERIA FOR CONTROLLED WATERS

---

## Protection of the water environment

The water environment in the United Kingdom is protected under a number of regulatory regimes. The relevant environmental regulator is consulted where there may be a risk that pollution of 'controlled waters' may occur or may have occurred in the past.

The term 'controlled waters' refers to coastal waters, inland freshwaters and groundwater. The EU Water Framework Directive (WFD) (2000/60/EC) is implemented via domestic regulations and guidance, covering aspects of groundwater and surface water protection as well as drinking water supply policy. Domestic legislation and guidance will vary across the United Kingdom. Therefore, the relevant legislation for England, Wales, Northern Ireland and Scotland should be reviewed, alongside guidance provided by the Environment Agency (EA), Natural Resource Wales (NRW), the Scottish Environmental Protection Agency (SEPA) or the Northern Ireland Environment Agency (NIEA), as appropriate.

The main objectives of the protection and remediation of groundwater under threat from land contamination are set out within "The Environment Agency's approach to groundwater protection", version 1.0 (March 2017)<sup>(1)</sup> and the associated guidance "Land contamination groundwater compliance points: quantitative risk assessments (March 2017)<sup>(1a)</sup> that have replaced the previous guidance document "Groundwater Principles and Practice (GP3)". When assessing risks to groundwater, the following need to be considered:

- Where pollutants have not yet entered groundwater, all necessary and reasonable measures must be taken to:
  - **prevent** the input of **hazardous** substances into groundwater (see description of hazardous substances below)
  - **limit** the entry of other (non-hazardous) pollutants into groundwater to avoid pollution, deterioration in the status of groundwater bodies and to prevent sustained, upward trends in pollutant concentrations in groundwater.
- Where pollutants have already entered groundwater, the priority is to take all necessary and reasonable measures to:
  - **minimise** further entry of "contaminants" where there is a defined source
  - **limit the pollution** of groundwater or any effect on the status of the groundwater body from the future expansion of the 'plume', if necessary, by actively reducing its extent.

Within the context of groundwater risk assessments on sites affected by land contamination, "reasonable" means feasible without involving disproportionate costs. What costs are "disproportionate" depends on site-specific circumstances, which may include:

- Considerations of technical feasibility such as identified by the remedial options appraisal, this may be due to the distribution or nature of the contamination and the available remedial methods to treat the identified contamination;
- Sustainability considerations.

## DEFINITIONS AND SUBSTANCE CLASSIFICATIONS

### Risks to surface waters:

When assessing risks to surface waters, the following list of definitions should be understood:

**Priority substances (PS)** are harmful substances originally identified under the Water Framework Directive (WFD) 2000/60/EC as substances 'presenting a significant risk to or via the aquatic environment' at a European level. Member States are required to incorporate the identified **PS** into their country-wide monitoring programmes. There are currently 33 **PS** defined within the Priority Substances Directive (2013/39/EU; Annex 1), with a further 12 additional substances due to come into force from 22 December 2018. Directive 2013/39/EU has been transposed into domestic legislation for England and Wales by The Water Framework Directive (Standards and Classification) Directions (England and Wales) 2015.

Under the umbrella of **PS**, there is a sub-set of substances identified as being "hazardous", and these are referred to as **Priority hazardous substances (PHS)**. The list of **PHS** is defined at EU level within the Priority Substances Directive (2013/39/EU). The WFD defines hazardous substances as 'substances (or groups of substances) that are toxic, persistent and liable to bio-accumulate, and other substances or groups of substances that give rise to an equivalent level of concern.' There are currently **21 PHS** (previously 15 PHS, with a further 6 substances added in 22 December 2018).

There is also another group of substances defined at EU level and which are referred to as **other pollutants (OP)** in Directive 2013/39/EU. These are additional substances which although not **priority substances**, have EQS which are identical to those laid down in the legislation which applied prior to 13 January 2009 (Directive 2008/105/EU). The **OP** are listed along with the **priority substance (PS)** within the Priority Substances Directive (2013/39/EU), and their associated EQS are also listed therein. There are 6 **OP** defined within the Priority Substances Directive (2013/39/EU).

In addition to the EU level substances, there are also a group of pollutants defined at a Member State level, referred to as **Specific pollutants (SP)**. These substances are pollutants which are released in significant quantities into water bodies in each of the individual European Member States. Under the WFD, Member States are required to set their own EQS for these substances. An indicative list of **SP** is given in Annex VIII of the WFD. Many of the substances categorised as **SP** in the UK were formerly List 2 substances under the old Groundwater Directive (80/68/EEC). The **SP** are defined within Part 2 (Table 1) of The Water Framework Directive (Standards and Classification) Directions (England and Wales) 2015.

### Risks to groundwater:

When assessing risks to groundwater, the following definitions should be understood:

Under the requirements of the Groundwater Daughter Directive (2006/118/EU), the UK has published a list of substances it considers to be **hazardous substances** with respect to groundwater. In their advisory capacity to the government, this list has been derived by the UK Joint Agencies Groundwater Directive Advisory Group (JAGDAG), of which the Environment Agency is a member. The latest JAGDAG list of **hazardous substances** was published in January 2019 and the Environment Agency will use the updated list of hazardous substances from this date for all new activities that may lead to the discharge of hazardous substances to groundwater. The list is extensive and can be found in full at:

<https://www.wfduk.org/stakeholders/jagdag>

## Selecting the appropriate assessment criteria

When assessing the risks to controlled waters, various assessment criteria apply, depending on the nature of the assessment and the conceptual site model.

Where a surface water body is involved, then Environmental Quality Standards (EQS) are the relevant assessment criteria as they are designed to be protective of surface water ecology.

Where a public water supply or a Principal aquifer is involved, then the standards defined in The Water Supply (Water Quality) Regulations<sup>(2)</sup> are the primary source of assessment criteria. The Private Water Supplies Regulations<sup>(3)</sup> may also be applicable in some cases. For instances where there are no UK assessment criteria, then the World Health Organisation (WHO) drinking water guidelines<sup>(4)</sup> may be used.

This appendix presents the generic assessment criteria (GAC) that RSK considers suitable for assessing risks to controlled waters for our most commonly encountered determinants. A full list of EQS for England and Wales are included in The Water Framework Directive (Standards and Classification) Directions (England and Wales) 2015.

The RSK GAC for controlled waters are presented in **Table 1** and **Table 2**. In line with the Environment Agency's Remedial Targets Methodology, the GAC for controlled waters are termed 'target concentrations'.

The appropriate target concentrations should be selected with consideration to:

- the site conceptual model (i.e. the receptor at potential risk);
- whether the substance is already present in groundwater at the site;
- whether or not the substance is classified as a priority hazardous substance under the Priority Substances Directive (2013/39/EC) (see above), or as a hazardous substance according to the current list of JAGDAG determinations<sup>(5)</sup>; and
- background concentrations in the aquifer (if applicable).

It is important to remember that the WFD and Environment Agency guidance<sup>(1 & 1a)</sup> support a sustainable, risk-based approach be applied to groundwater contamination. Exceedance of any target concentration does not necessarily imply that an unacceptable risk exists or that remediation is inevitably required.

Target concentrations shaded in green are <u>statutory values</u>	Target concentrations shaded in orange are <u>non-statutory values</u>
---	--

**Note:** Units µg/l throughout (unless otherwise stated)

**Table 1: Target concentrations for controlled waters (excluding TPH CWG fractions)**

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
<b>Metals &amp; other inorganics</b>						
<b>Hazardous substance</b>	Specific pollutant	Arsenic	-	10 <sup>(2)</sup>	50 <sup>(6a)</sup>	25 <sup>(6a)</sup>
Non-hazardous pollutant	Priority substance	Cadmium	0.1 <sup>(7)</sup>	5 <sup>(2)</sup>	≤0.08, 0.08, 0.09, 0.15, 0.25 <sup>(6b)</sup>	0.2 <sup>(6a)</sup>
<i>(Not determined)</i>	-	Chromium (total)	-	50 <sup>(2)</sup>	8.1 Sum values for chromium III and VI	-
<i>(Not determined)</i>	Specific pollutant	Chromium (III)	-	Use value for total chromium	4.7 <sup>(6a)</sup>	-
<b>Hazardous substance</b>	Specific pollutant	Chromium (VI)	-		3.4 <sup>(6a)</sup>	0.6 <sup>(6a)</sup>

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
<i>(Not determined)</i>	Specific pollutant	Copper	-	2,000 <sup>(2)</sup>	1 bioavailable <sup>(6a)</sup>	3.76 dissolved, where DOC ≤1mg/l <sup>(6a)</sup>
						3.76µg/l + (2.677µg/l x ((DOC/2) – 0.5µg/l)) dissolved, where DOC >1mg/l <sup>(6a)</sup>
<b>Hazardous substance</b>	Priority substance	Lead	-	10 <sup>(2)</sup>	1.2 bioavailable <sup>(6a)</sup>	1.3 <sup>(6a)</sup>
<b>Hazardous substance</b>	<b>Priority hazardous substance</b>	Mercury	0.01 <sup>(7)</sup>	1 <sup>(2)</sup>	0.07 <sup>(6c)</sup>	0.07 <sup>(6c)</sup>
Non-hazardous pollutant	Priority substance	Nickel	-	20 <sup>(2)</sup>	4.0 bioavailable <sup>(6a)</sup>	8.6 <sup>(6a)</sup>
Non-hazardous pollutant	-	Selenium	-	10 <sup>(2)</sup>	-	-
Non-hazardous pollutant	Specific pollutant	Zinc	-	3,000 <sup>(8)</sup>	10.9 bioavailable <sup>(6a)</sup>	6.8 dissolved <sup>(6a)</sup>
<i>(Not determined)</i>	Specific pollutant	Iron	-	200 <sup>(2)</sup>	1000 <sup>(6a)*1</sup>	1000 <sup>(6a)*1</sup>
<i>(Not determined)</i>	Specific pollutant	Manganese	-	50 <sup>(2)</sup> (0.05mg/l)	123 bioavailable <sup>(6a)</sup> (0.123mg/l)	-
<i>(Not determined)</i>	-	Aluminium	-	200 <sup>(2)</sup>	-	-

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
<b>Hazardous substance</b>	<b>Priority hazardous substance</b>	Tributyltin compounds (Tributyltin-cation)	0.001 <sup>(7)</sup>	-	0.0002 <sup>(6a)</sup>	0.0002 <sup>(6a)</sup>
<i>(Not determined)</i>	-	Sodium	-	200,000 <sup>(2)</sup> (200 mg/l)	-	-
Non-hazardous pollutant	Specific pollutant	Cyanide (Hydrogen cyanide)	-	50 <sup>(2)</sup> (0.05 mg/l)	1 <sup>(6a)</sup> (0.001 mg/l)	1 <sup>(6a)</sup> (0.001 mg/l)
Non-hazardous pollutant	-	Total ammoniacal nitrogen <sup>§</sup>	-	500 <sup>(2)</sup> (0.5 mg/l) as NH <sub>4</sub> (472 expressed as NH <sub>3</sub> ; 389 expressed as N)	300 <sup>(6f)</sup> (0.3 mg/l) as N (364 expressed as NH <sub>3</sub> ; 386 expressed as NH <sub>4</sub> )	-
Non-hazardous pollutant	Specific pollutant	Ammonia un-ionised (equilibrium ratio calculated) (NH <sub>3</sub> )	-	-	-	21 <sup>(6a)</sup> (0.021 mg/l)
Non-hazardous pollutant	Specific pollutant	Chlorine	-	-	2 <sup>(6a)</sup> (0.002 mg/l)	10 <sup>(6d)</sup> (0.01 mg/l)
<i>(Not determined)</i>	-	Chloride	-	250,000 <sup>(2)</sup> (250 mg/l)	-	-
<i>(Not determined)</i>	-	Sulphate	-	250,000 <sup>(2)</sup> (250 mg/l)	-	-
<i>(Not determined)</i>	-	Nitrate (as NO <sub>3</sub> )	-	50,000 <sup>(2)</sup> (50 mg/l)	-	-



Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
(Not determined)	-	Nitrite (as NO <sub>2</sub> )	-	500 <sup>(2)</sup> (0.5 mg/l)	10 <sup>(9)</sup> (0.01 mg/l)	-
<b>Volatile organic compounds (VOC)</b>						
Non-hazardous pollutant	Other pollutant	Tetrachloroethene (tetrachloroethylene; PCE)	0.1 <sup>(7)</sup>	10 <sup>(2)</sup> sum of TCE and PCE	10 <sup>(6a)</sup>	10 <sup>(6a)</sup>
<b>Hazardous substance</b>	Other pollutant	Trichloroethene (trichloroethylene; TCE)	0.1 <sup>(7)</sup>		10 <sup>(6a)</sup>	10 <sup>(6a)</sup>
<b>Hazardous substance</b> (1,1,2,2-tetra-chloroethane)	Specific pollutant	Tetrachloroethane	-	-	140 <sup>(6a)</sup>	-
<b>Hazardous substance</b>	Other pollutant	Carbon tetrachloride (tetrachloromethane)	0.1 <sup>(7)</sup>	3.0 <sup>(2)</sup>	12 <sup>(6a)</sup>	12 <sup>(6a)</sup>
Non-hazardous pollutant	Priority substance	1,2-Dichloroethane	1.0 <sup>(7)</sup>	3.0 <sup>(2)</sup>	10 <sup>(6a)</sup>	10 <sup>(6a)</sup>
Non-hazardous pollutant	-	1,2-Dichloroethene (DCE) sum of cis and trans	-	50.0 <sup>(4)</sup>	-	-
<b>Hazardous substance</b>	-	Vinyl chloride (chloroethene, chloroethylene)	-	0.5 <sup>(2)</sup>	-	-
Non-hazardous pollutant	Priority substance	Dichloromethane	-	20 <sup>(4)</sup>	20 <sup>(6a)</sup>	20 <sup>(6a)</sup>

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
Non-hazardous pollutant	Priority substance	Trichlorobenzenes	0.01 <sup>(7)</sup>	-	0.4 <sup>(6a)</sup>	0.4 <sup>(6a)</sup>
<b>Hazardous substance</b>	Priority substance	Trichloromethane (Chloroform)	0.1 <sup>(7)</sup>	100 <sup>(2a)</sup> (sum of trihalomethanes – chloroform, bromform, dibromochloromethane, bromodichloromethane)	2.5 <sup>(6a)</sup>	2.5 <sup>(6a)</sup>
<i>(Not determined)</i>	-	Bromoform	-		-	-
<i>(Not determined)</i>	-	Dibromochloromethane	-		-	-
<i>(Not determined)</i>	-	Bromodichloromethane	-		-	-
Non-hazardous pollutant	<b>Priority hazardous substance</b>	Di(2-ethylhexyl) phthalate (bis(2-ethylhexyl) phthalate, DEHP)	-	8 <sup>(4)</sup>	1.3 <sup>(6a)</sup>	1.3 <sup>(6a)</sup>
<i>(Not determined)</i>	Specific pollutant	Benzyl butyl phthalate	-	-	7.5 <sup>(6a)</sup>	0.75 <sup>(6e)</sup>
<b>Hazardous substance</b>	<b>Priority hazardous substance</b>	Hexachlorobutadiene (as a pesticide, but reported in a VOC suite)	0.005 <sup>(7)</sup>	0.1 <sup>(2)</sup>	0.6 <sup>(6c)</sup>	0.6 <sup>(6c)</sup>
<b>Semi-volatile organic compounds (SVOC)</b>						
<i>(Not determined)</i> <small>Not to be confused with acenaphthene, which is a hazardous substance</small>	-	Acenaphthylene (Aro EC12-EC16)	-	-	5.8 <sup>(10)</sup>	
<b>Hazardous substance</b>	<b>Priority hazardous substance</b>	Anthracene (Aro EC16-EC21)	-	-	0.1 <sup>(6a)</sup>	0.1 <sup>(6a)</sup>

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
Non-hazardous pollutant	Priority substance	Naphthalene (Aro EC10-EC12)	-	-	2 <sup>(6a)</sup>	2 <sup>(6a)</sup>
<b>Hazardous substance</b>	Priority substance	Fluoranthene (Aro EC21-EC35) not used as an indicator for this EC band	-	-	0.0063 <sup>(6a)</sup>	0.0063 <sup>(6a)</sup>
<b>Hazardous substance(s)</b>	<b>Priority hazardous substance(s)</b>	Benzo(a)pyrene (Aro EC21-EC35)	-	0.01 <sup>(2)</sup>	0.00017 <sup>(6a)</sup>	0.00017 <sup>(6a)</sup>
		Benzo(b)fluoranthene (Aro EC21-EC35)	-	0.1 <sup>(2)</sup> sum of the concentration of the four specified compounds	No EQS for these substances. B(a)P should be used as the indicator compound instead.	
		Benzo(k)fluoranthene (Aro EC21-EC35)	-			
		Benzo(g,h,i)perylene (Aro EC21-EC35)	-			
		Indeno(1,2,3-cd) pyrene (Aro EC21-EC35)	-			
Non-hazardous pollutant	Specific pollutant	Phenol	-	-	7.7 <sup>(6a)</sup>	7.7 <sup>(6a)</sup>
<b>Hazardous substance</b>	Specific pollutant	2,4-Dichlorophenol	0.1 <sup>(7)</sup>	-	4.2 <sup>(6a)</sup>	0.42 <sup>(6a)</sup>

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
<b>Hazardous substance</b>	Priority substance	Pentachloro-phenol (PCP) (as a pesticide, but reported in an SVOC suite)	0.1 <sup>(7)</sup>	0.1 <sup>(2)</sup>	0.4 <sup>(6a)</sup>	0.4 <sup>(6a)</sup>
<b>Petroleum hydrocarbons</b>						
<b>Hazardous substance</b>	-	Total petroleum hydrocarbons	-	See Table 2 for individual (non-statutory) TPH CWG fractions with respect to drinking water receptors	See individual risk driving compounds (i.e. BTEX and PAH) for specific EQS	
<b>Hazardous substance</b>	Priority substance	Benzene (Aro EC5-EC7)	1 <sup>(7)</sup>	1 <sup>(2)</sup>	10 <sup>(6a)</sup>	8 <sup>(6a)</sup>
<b>Hazardous substance</b>	Specific pollutant	Toluene (Aro EC7-EC8)	4 <sup>(7)</sup>	700 <sup>(4)</sup>	74 <sup>(6a)</sup>	74 <sup>(6a)</sup>
<b>Hazardous substance</b>	-	Ethylbenzene (Aro EC8-EC10)	-	300 <sup>(4)</sup>	300 <sup>(11)</sup>	-
<b>Hazardous substance</b>	-	Xylenes (Aro EC8-EC10)	3 <sup>(7)</sup>	500 <sup>(4)</sup>	30 <sup>(11)</sup>	-
Non-hazardous pollutant	-	Methyl tertiary butyl ether (MTBE)	-	15 <sup>(12)</sup>	-	-

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
<b>Pesticides, fungicides, insecticides and herbicides</b>						
<i>(Not determined) – assume to be Hazardous Substance as per below</i>	-	Total pesticides	-	0.5 <sup>(2)</sup>	-	-
<i>(Not determined) - assume to be Hazardous Substance as per below</i>	-	Other individual pesticides (unless otherwise detailed below)	-	0.1 <sup>(2)</sup>	-	-
<b>Hazardous substance(s)</b>	Other pollutant (Cyclodiene pesticides)	Aldrin	0.003 <sup>(7)</sup>	0.03 <sup>(2)</sup>	0.01 <sup>(6a)</sup> (sum of all four)	0.005 <sup>(6a)</sup> (sum of all four)
		Dieldrin	0.003 <sup>(7)</sup>	0.03 <sup>(2)</sup>		
		Endrin	0.003 <sup>(7)</sup>	0.1 <sup>(2b)</sup> (‘other individual pesticide’)		
		Isodrin* <sup>2</sup>	0.003 <sup>(7)</sup>	0.1 <sup>(2b)</sup> (‘other individual pesticide’)		
<b>Hazardous substance</b>	Other pollutant	DDT (total)	0.002 <sup>(7)</sup>	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.025 <sup>(6a)</sup>	0.025 <sup>(6a)</sup>
<b>Hazardous substance</b>	Specific pollutant	Carbendazim	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.15 <sup>(6a)</sup>	-

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
<b>Hazardous substance</b>	Specific pollutant	Chlorothalonil	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.035 <sup>(6a)</sup>	-
<b>Hazardous substance</b>	Priority substance	Cypermethrin	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	8.0E <sup>-5(6a)</sup>	8.0E <sup>-6(6a)</sup>
<b>Hazardous substance</b>	Specific pollutant	Dimethoate	0.01 <sup>(7)</sup>	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.48 <sup>(6a)</sup>	0.48 <sup>(6a)</sup>
<i>(Not determined)</i>	Specific pollutant	Glyphosate	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	196 <sup>(6a)</sup>	196 <sup>(6a)</sup>
<b>Hazardous substance</b>	Specific pollutant	Linuron	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.5 <sup>(6a)</sup>	0.5 <sup>(6a)</sup>
Non-hazardous pollutant	Specific pollutant	Mecoprop	0.04 <sup>(7)</sup>	0.1 <sup>(2)</sup> (‘other individual pesticide’)	18 <sup>(6a)</sup>	18 <sup>(6a)</sup>
Non-hazardous pollutant	Specific pollutant	Methiocarb	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.01 <sup>(6a)</sup>	-
Non-hazardous pollutant	Specific pollutant	Pendimethalin	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.3 <sup>(6a)</sup>	-

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
<b>Hazardous substance</b>	Specific pollutant	Permethrin	0.001 <sup>(7)</sup>	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.001 <sup>(6a)</sup>	0.0002 <sup>(6a)</sup>
<b>Hazardous substance</b>	Priority substance	Alachlor	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.3 <sup>(6a)</sup>	0.3 <sup>(6a)</sup>
<b>Hazardous substance</b>	Priority substance	Atrazine	0.03 <sup>(7)</sup>	100 <sup>(4)</sup> (‘other individual pesticide’)	0.6 <sup>(6a)</sup>	0.6 <sup>(6a)</sup>
<b>Hazardous substance</b>	Priority substance	Diuron	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.2 <sup>(6a)</sup>	0.2 <sup>(6a)</sup>
<b>Hazardous substance</b>	<b>Priority hazardous substance</b>	Endosulphan	0.005 <sup>(7)</sup>	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.005 <sup>(6a)</sup>	0.0005 <sup>(6a)</sup>
Non-hazardous pollutant	Priority substance	Isoproturon	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.3 <sup>(6a)</sup>	0.3 <sup>(6a)</sup>
<b>Hazardous substance</b>	Priority substance	Simazine	0.03 <sup>(7)</sup>	0.1 <sup>(2)</sup> (‘other individual pesticide’)	1 <sup>(6a)</sup>	1 <sup>(6a)</sup>
<b>Hazardous substance</b>	<b>Priority hazardous substance</b>	Trifluralin	0.01 <sup>(7)</sup>	0.1 <sup>(2)</sup> (‘other individual pesticide’)	0.03 <sup>(6a)</sup>	0.03 <sup>(6a)</sup>

Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters
<b>Hazardous substance</b>	Priority substance	Dichlorvos	-	0.1 <sup>(2)</sup> (‘other individual pesticide’)	6.0E <sup>-4(6a)</sup>	6.0E <sup>-5(6a)</sup>
<b>Hazardous substance</b>	Priority substance	Heptachlor and heptachlor epoxide	-	0.03 <sup>(2)</sup>	2.0E <sup>-7(6a)</sup>	1.0E <sup>-08(6a)</sup>
<b>Miscellaneous</b>						
<i>(Not determined)</i>	Specific pollutant	Triclosan (antibacterial agent)	-	-	0.1 <sup>(6a)</sup>	0.1 <sup>(6a)</sup>
<b>Hazardous substance</b>	<b>Priority hazardous substance</b>	Perfluoro-octane sulfonic acid (and its derivatives) (PFOS)	-	-	6.5E <sup>-4(6a)</sup>	1.3E <sup>-4(6a)</sup>
<b>Hazardous substance</b>	<b>Priority hazardous substance</b>	Hexabromo cyclododecane (HBCDD)	-	-	0.0016 <sup>(6a)</sup>	0.0008 <sup>(6a)</sup>



Substance classification		Determinant	Target concentrations (µg/l)			
Groundwater receptors <sup>(5)</sup>	Surface water receptors <sup>(6)</sup>		Minimum reporting value	UK drinking water standard (or best equivalent)	EQS or best equivalent	
					Freshwater	Transitional (estuaries) and coastal waters

**Notes:**

<sup>1</sup> A target concentration is not available.

<sup>§</sup>Please note that total ammonia (NH<sub>4</sub><sup>+</sup> and NH<sub>3</sub>) is equivalent to ammoniacal nitrogen in laboratory reports

<sup>\*1</sup> Please note that although iron is listed in the 2015 Direction as 1.000 µg/l, the EQS remains at 1mg/l in Scotland and it is assumed this is an error and should read either 1,000 or 1000µg/l.

<sup>\*2</sup> Please note that although Isodrin is not listed in name within the group of “Cyclodiene pesticides” in Table 1 of Schedule 3 Part 3 of the 2015 Direction<sup>(6)</sup>, the CAS number for Isodrin (465-73-6) is listed and therefore it is assumed that it has been missed off the named list of substances.

<sup>\*3</sup> Total petroleum hydrocarbons is used for consistency, but is an analytical method-defined measurement for a mixture of hydrocarbons subject to environmental analysis<sup>11</sup>.

“Bioavailable” in relation to copper, zinc, nickel and manganese (but not lead) is the generic EQSbioavailable<sup>(6a)</sup> derived from the Metal Bioavailability Assessment Tool (M-BAT) developed by the Water Framework Directive UK Technical Advisory Group (WFDTAG). Exceedance of this value should prompt a site-specific assessment using the M-BAT with pH, DOC and Ca to derive a site-specific EQS termed the PNEC<sub>dissolved</sub>.  
<http://www.wfduk.org/resources/rivers-lakes-metal-bioavailability-assessment-tool-m-bat>.

For zinc, if there is an exceedance of the EQSbioavailable in an initial GQRA, Tier 2 required that the EQS for zinc should also have the ambient background concentration of zinc added as well

**Table 2: World Health Organization (WHO) guide values for TPH CWG fractions in drinking water<sup>(13)</sup> (as referenced in CL:AIRE, 2017<sup>(11)</sup>)**

TPH CWG fraction	WHO guide value for drinking water <sup>(13)</sup> (µg/l)
<b>Aliphatic fractions:</b>	
Aliphatic EC5-EC6	15,000
Aliphatic >EC6-EC8	15,000
Aliphatic >EC8-EC10	300
Aliphatic >EC10-EC12	300
Aliphatic >EC12-EC16	300
Aliphatic >EC16-EC21	-
Aliphatic >EC21-EC35	-
<b>Aromatic fractions:</b>	
Aromatic EC5-EC6	10 (benzene)
Aromatic >EC6-EC8	700 (toluene)
Aromatic >EC8-EC10	300 (ethyl benzene) 500 (xylenes)
Aromatic >EC10-EC12	90
Aromatic >EC12-EC16	90
Aromatic >EC16-EC21	90
Aromatic >EC21-EC35	90
Reference: World Health Organisation (WHO), 2008. Petroleum products in drinking-water. Background document for development of WHO guidelines for drinking water quality. WHO/SDE/WSH/05.08/123. World Health Organisation, Geneva <sup>(13)</sup> .	

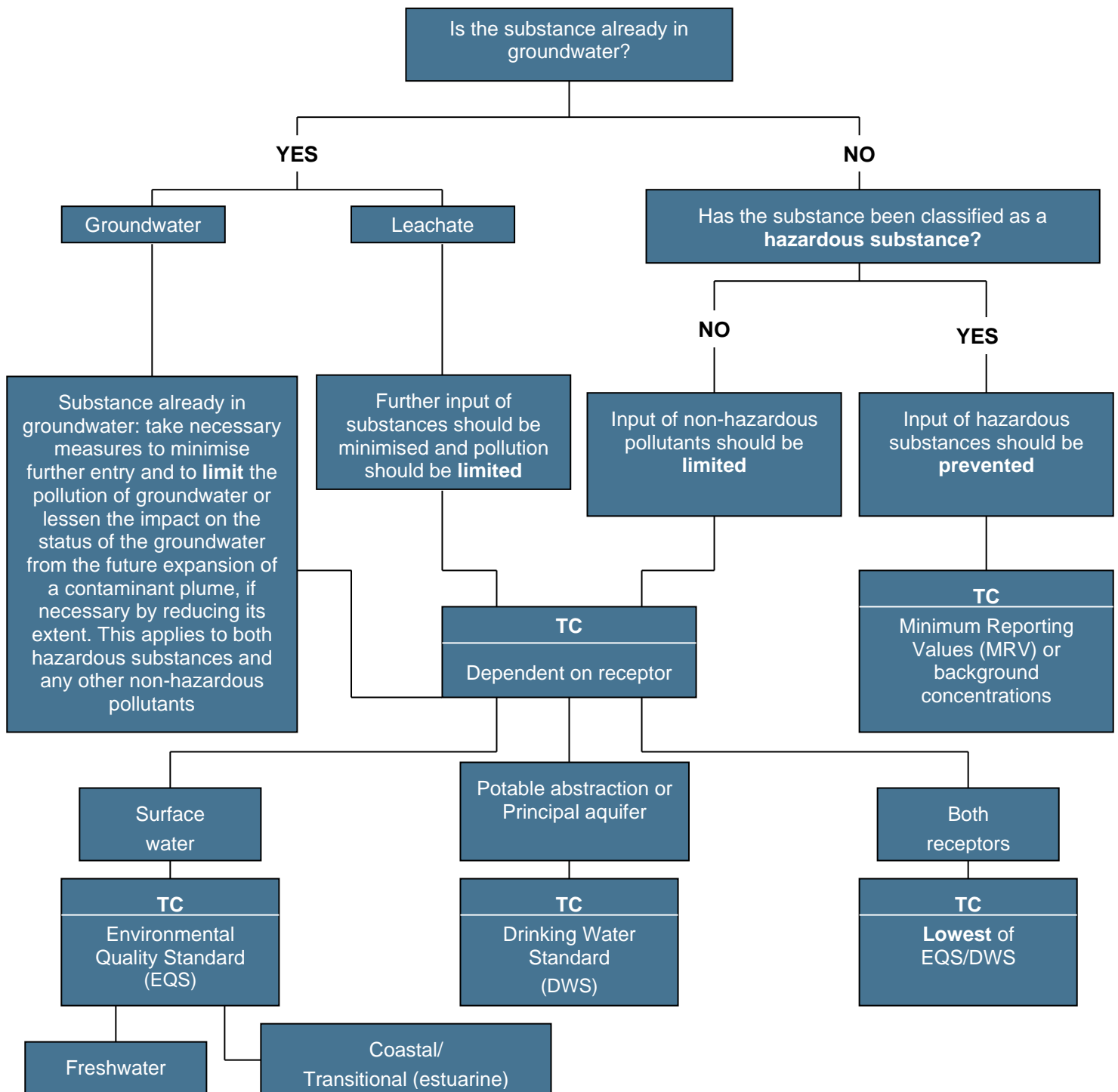
## References

1. Environment Agency (2018), 'The Environment Agency's approach to groundwater protection', version 1.2, February 2018 (formerly contained within GP3).  
<https://www.gov.uk/government/collections/groundwater-protection>
- 1a. Environment Agency (2017), 'Land contamination groundwater compliance points: quantitative risk assessments', March 2017 (formerly contained within GP3) [accessed 29 March 2017].  
<https://www.gov.uk/government/collections/groundwater-protection>
2. The Water Supply (Water Quality) Regulations 2016 (SI 2016/619)
  - 2a. Sum of chloroform, bromoform, dibromochloromethane and bromodichloromethane
  - 2b. Standard applies to individual pesticides except aldrin, dieldrin, heptachlor and heptachlor epoxide, for which a separate standard is defined.
3. The Private Water Supplies (England) Regulations 2016. SI 2016 / 618
4. WHO (2011), *Guidelines for drinking-water quality*, 4th edn
5. JAGDAG hazard substance determinations (January 2019): This list contains substances that are determined to be hazardous substances or non-hazardous pollutants for the purposes of the groundwater directive 2006/118/EC. <https://www.wfduk.org/stakeholders/jagdag> [accessed 1 February 2021]  
The absence of an assessment or substance from the list means an assessment has not been completed and is therefore presented as 'Not determined'. For further details on how substances are assessed, see the Joint Agencies Groundwater Directive Advisory Group (JAGDAG) 'Methodology for the determination of hazardous substances in groundwater for the purposes of the groundwater directive 2006/118/EC' which is available from the JAGDAG website. The methodology is a UK-wide framework that sets criteria for how to assess whether a substance is a hazardous substances in groundwater.
6. The Water Framework Directive (Standards and Classification) Directions (England and Wales) 2015.
  - 6a. The EQS for these substances are based on a "long term mean" or an "annual average (AA)" EQS.
  - 6b. For cadmium and its compounds the EQS values vary depending on the hardness of the water as specified in five class categories (Class 1: < 40 mg CaCO<sub>3</sub>/l, Class 2: 40 to < 50 mg CaCO<sub>3</sub>/l, Class 3: 50 to < 100 mg CaCO<sub>3</sub>/l, Class 4: 100 to < 200 mg CaCO<sub>3</sub>/l and Class 5: ≥ 200 mg CaCO<sub>3</sub>/l).
  - 6c. The EQS for Mercury and hexachlorobutadiene are based on a "maximum acceptable concentration (MAC)" EQS in absence of an "annual average (AA)" EQS.
  - 6d. The EQS for chlorine in saltwater is based on the 95<sup>th</sup> percentile concentration of total residual oxidant, which refers to the sum of all oxidising agents existing in water, expressed as available chlorine.
  - 6e. The recommended saltwater standard is derived using a safety factor of 100. Where the standard is failed, it is recommended that supporting evidence of ecological damage should be obtained before committing to expensive action.
  - 6f. EQS for total ammonia is as per Schedule 3, Part 1, Table 7 of of the above directions. EQS applies to river types 1, 2 and 4 and 6 (namely upland and low alkalinity). The EQS for a lowland and high alkalinity rivers (types 3, 5 and 7) is 600µg/l (0.6mg/l).

Additional information on the Metal Bioavailability Assessment Tool (M-BAT) is available at <http://www.wfduk.org/resources/rivers-lakes-metal-bioavailability-assessment-tool-m-bat>

7. Minimum reporting values listed at <https://www.gov.uk/government/publications/values-for-groundwater-risk-assessments/hazardous-substances-to-groundwater-minimum-reporting-values> (updated 13 January 2017; accessed 29 March 2017). Note target concentration for xylenes is 3 µg/l each for o-xylene and m/p xylene as it may not be possible to separate m- and p-xylene; 135 tcb, 124 tcb, 123 tcb each to 0.01 µg/l)
8. The Surface Waters (Abstraction for Drinking Water) (Classification) Regulations 1996 (as amended). SI 1996 / 3001
9. Council Directive on the Quality of Fresh Waters Needing Protection or Improvement in Order to Support Fish Life (Freshwater Fish Directive) (78/659/EEC)
10. WRc plc (2002), R&D Technical Report P45.
11. CL:AIRE, 2017. Petroleum Hydrocarbons in Groundwater: Guidance on assessing petroleum hydrocarbons using existing hydrogeological risk assessment methodologies. V1.1.
12. Drinking Water Inspectorate (London, UK). Environmental Information Request on MTBE in drinking water. Ref. DWI 1/10/18; dated 28 November 2006. Value is based on the odour threshold for MTBE, which is lower than a health-based guideline value
13. World Health Organisation (WHO), 2008. Petroleum products in drinking-water. Background document for development of WHO guidelines for drinking water quality. WHO/SDE/WSH/05.08/123. World Health Organisation, Geneva. [accessed 29 March 2017] [http://www.who.int/water\\_sanitation\\_health/dwg/chemicals/petroleumproducts\\_2add\\_june2008.pdf](http://www.who.int/water_sanitation_health/dwg/chemicals/petroleumproducts_2add_june2008.pdf)

# FLOW CHART TO ASSIST WITH SELECTION OF TARGET CONCENTRATIONS



TC = Target concentration

When leachate is being assessed the 'compliance point' is the groundwater body. Therefore dilution within the groundwater body may be applied with caution before comparing with the TC.

When directly assessing a receptor, e.g., a river, the appropriate TC should be selected.

# GENERIC ASSESSMENT CRITERIA FOR PHYTOTOXIC EFFECTS

---

Several compounds can inhibit plant growth; hence it is important to have generic assessment criteria (GAC) to promote healthy plant growth. In the absence of other published GAC, the GAC have been obtained from legislation (UK and European) and guidance related to the use of sewage sludge on agricultural fields.

The Council of European Communities Sewage Sludge Directive (86/278/EEC) dated 1986, has been transposed into UK law by Statutory Instrument No. 1263, The Sludge (use in Agriculture) Regulations 1989 (Public Health England, Wales and Scotland), as amended in 1990 and The Sludge (use in Agriculture) Regulations (Northern Ireland) SR No, 245, 1990. In addition the Department of Environment (DoE) produced a Code of Practice (CoP) (Updated 2<sup>nd</sup> Edition) in 2006 which provided guidance on the application of sewage sludge on agricultural land (however the status of this document is unclear as it is on the archive section of the Defra website).

The directive seeks to encourage the use of sewage sludge in agriculture and to regulate its use in such a way as to “**prevent harmful effects on soil, vegetation, animals and man**”. To this end, it prohibits the use of untreated sludge on agricultural land unless it is injected or incorporated into the soil. Treated sludge is defined as having undergone "biological, chemical or heat treatment, long-term storage or any other appropriate process so as significantly to reduce its fermentability and the health hazards resulting from its use". To provide protection against potential health risks from residual pathogens, sludge must not be applied to soil in which fruit and vegetable crops are growing, or less than ten months before fruit and vegetable crops are to be harvested. Grazing animals must not be allowed access to grassland or forage land less than three weeks after the application of sludge.

The specified limits of concentrations of selected elements in soil are presented in Table 4 of the updated 2<sup>nd</sup> Edition of the DoE Code of Practice and are designed to protect plant growth. It is noted that these values are more stringent than the values set in current UK regulations. However since they were amended following recommendations from the Independent Scientific Committee in 1993. (MAFF/DOE 1993). The GAC are presented in Table 1.

**Table 1: Generic assessment criteria**

Determinant	Generic assessment criteria (mg/kg)			
	pH 5.0 < 5.5	pH 5.5 < 6.0	pH 6.0 < 7.0	pH >7.0
Zinc	200	200	200	300
Copper	80	100	135	200
Nickel	50	60	75	110
Lead	300	300	300	300
Cadmium	3	3	3	3
Mercury	1	1	1	1

Note: Only compounds with assessment criteria documented within the Directive 86/278/EEC have been included, although criteria for 5 additional compounds have been presented within the 2006 CoP.